# Synthesis and Characterization of Biodegradable Poly(1,4-butanediol succinate)

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#### **SYNOPSIS**

Biodegradable poly(1,4-butanediol succinate) was synthesized from 1,4-butanediol and succinic anhydride. The synthesized polymer was identified by <sup>1</sup>H-NMR spectrometer and FT-IR spectrophotometer. The weight average molecular weights were between 4,600 and 29,000, and molecular weight distributions were in the range of 1.7 and 1.9. The glass transition temperature of poly(1,4-butanediol succinate) was revealed at  $73^{\circ}$ C. The crystallization and cold crystallization of the polymers were investigated as a function of heating rate, cooling rate, reheating rate, and molecular weight. The biodegradation behavior of poly(1,4-butanediol succinate) in micro-organisms such as fungi, actinomycetes, and bacteria was studied by using modified ASTM method. Based upon visual observation, the crystalline structure of films composed of larger molecular weight polymers retained their crystallinity longer than similar structures in low molecular weight samples. © 1995 John Wiley & Sons, Inc.

## INTRODUCTION

Biodegradable polyesters derived from  $\alpha$ -hydroxy acids and lactones are being used in an increasingly large number of biomedical applications, such as absorbable bone plates and other surgical fixation devices, bioabsorbable surgical suture, and carrier systems for the controlled release of drugs.<sup>1-6</sup>

The great importance of a biodegradable material relates to the biocompatibility, toxicity, and immunogenicity.<sup>1,7</sup> Additional requirements for some applications are predictable rate of biodegradation and suitable mechanical properties, and susceptible microbial and environmental degradation upon disposal without any adverse environmental impact.<sup>8-10</sup> The scarcity of polymers that meet these rather demanding requirements has prompted a continuous search for new, improved biodegradable polymers.

Recently, Fukuzaki et al.<sup>11–13</sup> synthesized low molecular weight polyesters by direct polycondensation in the absence of catalysts to get more pure materials. Crosslinked polyester matrix was prepared using Krebs cycle acid, and poly( $\beta$ -malic acid) derivertives that have hydrophilicity and hydrophobicity were synthesized and characterized.<sup>14-17</sup>

The Krebs cycle acids are good candidates for the development of new polyesters.<sup>14–17</sup> The tricarboxylic acid cycle, or Krebs cycle, is the process during which acetal moiety, in acetal CoA, is oxidized completely to carbon dioxide and water. It is expected that polyester prepared using Krebs cycle acid derivatives is biocompatible and biodegradable, and the degradation residue will be nontoxic.

In this study, poly(1,4-butanediol succinate) was synthesized from 1,4-butanediol and succinic anhydride, which was a Krebs cycle acid derivative. The synthesized polymers were identified by <sup>1</sup>H-NMR spectrometer and FT-IR spectrophotometer. The molecular weights and molecular weight distributions of synthetic polymers were measured by gel permeation chromatography. The effects of cooling rate, reheating rate, crystallization time and temperature, and molecular weight were investigated on the poly(1,4-butanediol succinate). Biodegradation of poly(1,4-butanediol succinate) was also studied by microorganisms, which can meet in the environment and have broad substrate specificity using the modified American Standards for Testing and Materials (ASTM) agar plate method.<sup>18</sup>

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## EXPERIMENTAL

## **Synthesis**

Succinic anhydride and 1,4-butanediol were purchased from Aldrich Chemical Co. *p*-Toluenesulfonic acid, which was used as a catalyst, was obtained from Sigma Chemical Co. 1,4-Butanediol, *p*-toluenesulfonic acid, and succinic anhydride were dissolved in toluene and placed in a two-necked flask equipped with a magnetic stirring bar, thermometer, and dean-stark trap on top of which is a condenser fitted with a drying tube. The reaction mixture is placed in an oil bath and maintained at reflux with stirring for a predetermined time. The reaction mixture was cooled and filtered. The residue was washed with acetone and recrystallized with chloroform and diethyl ether. The product was dried in vacuum at room temperature for 1 week.

## Characterization

<sup>1</sup>H-NMR spectra were recorded with a Varian Gemini 300 spectrometers using  $CDCl_3$  as a solvent. IR spectrum was recorded on a Nicolet 5-MX FT-IR spectrophotometer in the solid state using a KBr pellet. Weight average molecular weight, number average molecular weight, and molecular weight distribution of the polymers were measured using a Shimadzu LC-9A system. The columns were calibrated with polystyrene standards, having a narrow molecular weight distribution. The glass transition temperatures  $(T_{e})$ , crystallization temperatures  $(T_{c})$ , and melting temperatures  $(T_m)$  of the polymers were measured with the differential scanning calorimetry Perkin-Elmer DSC-4 system. Calibration of the temperature was performed using an indium standard. Amorphous samples of poly(1,4-butanediol succinate) were prepared by melt at 150°C using the

Table I Preparation of Nutrient-Salts Broth

Reagents	Weight	
Potassium dihydrogen orthophosphate		
$(KH_2PO_4)$	0.7	
Magnesium sulfate (MgSO <sub>4</sub> $7H_2O$ )	0.7	
Ammonium nitrate $(NH_4NO_3)$	1.0	
Sodium chloride (NaCl)	0.005	
Ferrous sulfate ( $FeSO_4$ 7 $H_2O$ )	0.002	
Zinc sulfate $(ZnSO_4 7H_2O)$	0.002	
Manganous sulfate ( $MnSO_4$ 7 $H_2O$ )	0.001	

hot plate, holding for 5 min, then quenched by immersing in liquid N<sub>2</sub>. For the studies of thermal history effects, samples were heated from 30 to  $150^{\circ}$ C at various heating rates and cooled from 150 to  $30^{\circ}$ C at various cooling rates. For the observation of the spherulitic structure, the sample was melted on a hot plate at  $150^{\circ}$ C for 5 min and slowly cooled to room temperature. The grown spherulites were observed using a Leitz Laborlux 12 Pol S polarizing microscope equipped with a 35 mm camera.

# **Biodegradation**

The microorganisms for biodegradation test were Aspergillus niger and Penicilium funicularium as fungi, Actinoplane spp as actinomycetes, and Pseudomonas fluorescens as bacteria. Aspergillus niger and Penicilium funicularium are routinely used in the ASTM procedure for the determination of biodegradation. The conidia harvested from 7 days' culture slant were washed with distilled water three times. The harvested conidia were suspended in nutrient salt broth by 10<sup>9</sup> conidia/mL. The cell suspension of Pseudomonas fluorescens was prepared with distilled water and cell density was adjusted to 10<sup>12</sup> cell/mL in nutrient salt broth. Mycelial fragmented propagule suspension of Actinoplane spp was prepared by homogenization of mycelia and adjusted to 2 mg/mL in nutrient salt broth. Nutrient salt broth was prepared by dissolving in 1 L of water the designated amounts of the reagents in Table I. The test medium was sterilized by autoclaving at 121°C for 20 min. The pH of the medium was adjusted by the addition of 0.01 N NaOH solution so that after sterilization the pH was 6.2. Acid-washed cover glasses for the microscope  $(18 \times 18 \text{ mm})$  were flooded with 75 µL of poly(1,4-butanediol succinate)-chloroform solution at a concentration of 0.16 g/mL. Solvent was evaporated on the hot plate and the polymer film was cooled slowly to room temperature. Less than 40  $\mu$ m thick poly(1,4-butanediol succinate) film was deposited on the glass. The prepared film was sterilized at 140°C for 4 h in a dry oven and placed on the agar plate. The prepared suspension of each microorganism was inoculated on the polymer film surface and incubated at 28°C. The polymer film on the cover glass was removed from the incubator after a predetermined time and the shape was observed using microscopy ( $\times 200$ ). In the degraded samples by Aspergillus niger, the molecular weight decrease of PBS ( $M_w$ : 29,000) was also measured with GPC.



Figure 1 FT-IR spectrum of poly(1,4-butanediol succinate).



Figure 2 <sup>1</sup>H-NMR spectrum of poly(1,4-butanediol succinate).

# **RESULTS AND DISCUSSION**

## Identification

The FT-IR spectrum of the PBS is shown in Figure 1. IR vibrational band characteristic of ester bond formation is indicated by the presence of carbonyl peak at 1,740 cm<sup>-1</sup>. In the NMR spectrum of PBS, three signals are shown at  $\delta$  4.1(—CH<sub>2</sub>—, peak<sup>a</sup> of 1,4-butanediol unit),  $\delta$  1.7(—CH<sub>2</sub>—, peak<sup>b</sup> of 1,4butanediol unit), and  $\delta$  2.6(—CH<sub>2</sub>—, peak<sup>c</sup> of succinyl unit) (Fig. 2). The molar composition of PBS was estimated from the ratio of the areas of peak<sup>a</sup>, peak<sup>b</sup>, and peak<sup>c</sup>, and the result agreed with the initial monomer composition, indicating that 1,4butanediol reacted quantitatively with succinic anhydride in polycondensation.

 $M_n$ ,  $M_w$ , and  $M_w/M_n$  were listed in Table II. The molecular weight distribution of each polymer,

Table IIMolecular Weight and MolecularWeight Distribution of Poly(1,4-ButanediolSuccinate)

Sample	Synthetic Condition				
	Reaction Time (h)	Mol % of Catalyst	GPC Results		
			M <sub>n</sub>	$M_w$	MWD
PBS	3.33	0.4	2,700	4,600	1.69
	4.5	0.4	3,300	6,300	1.88
	12	0.4	5,700	11,000	1.92
	17	0.4	9,400	18,000	1.91
	20	0.4	16,000	29,000	1.82

ranging from 1.7 to 1.9, was narrower than other polyesters, which were synthesized using hydrolysis of lactone or using oligomeric ester diol.<sup>10-13,19</sup>



**Figure 3** DSC melting curves of poly(1,4-butanediol succinate) ( $M_w$ : 18,000) as a function of heating rate.



**Figure 4** Typical DSC thermograms of poly(1,4-butanediol succinate) ( $M_{w}$ : 18,000): (A) First heating; (B) supercooling from the melt; (C) second heating of recrystallized polymer.

## Characterization

The crystalline size and structure,  $^{21-24}$  crystallization rate<sup>25-29</sup> and temperature,  $^{30}$  degree of crystallinity<sup>23-25</sup> and nucleation density<sup>31</sup> were influenced by the thermal history in the melt state and the melt temperature, crystallization time and temperature, cooling rate, and the molecular weight of the polymer.

DSC thermograms of PBS are shown in Figure 3. When PBS was heated from 30°C to 150°C at various heating rates, 2, 5, 10, 15, 20, 40, and 60°C/min,  $T_g$  is shown at 73°C with multiple melting endotherms. So far, many investigations have been

Table III Quantitative Calculation for the Enthalpy Changes of Exothermic Parts in Supercooling Process and Cold Crystallization of the Second Heating Process and for the Enthalpy Change of Melting Endotherm in the Case of Different Molecular Weight

	۸Um	AHa	AHee	$\Delta H_0 \pm \Delta H_{00}$	
$M_w$	(cal/g)	(cal/g)	(cal/g)	(cal/g)	
4,600	20.5	-18.1	-2.6	-20.7	
6,300	22.3	-19.7	-2.7	-22.4	
11,000	21.7	-19.4	-2.9	-22.3	
18,000	21.8	-18.4	-3.2	-22.0	
29,000	21.9	-18.7	-3.0	-21.7	

 $\Delta Hm$ : enthalpy change of melting process.

 $\Delta$ Hc: enthalpy change of supercooling process.

 $\Delta$ Hcc: enthalpy change of cold crystallization in second heating.

Holding Time (h)	$T_c$ , Onset (°C)	T <sub>c</sub> , Max (°C)	∆Hc (cal/g)
0.08	83.3	78.3	-20.3
0.5	82.5	77.7	-20.4
1	81.8	77.0	-20.6
4	81.1	76.5	-19.9
8	80.1	75.4	-20.4
24	80.2	75.4	-20.2
48	80.8	75.5	-20.2
100	80.6	75.3	-20.1

Table IVThe Enthalpy Changes and theOnset and Maximum Temperatures of theCrystallization Exotherm for Poly(1,4-ButanediolSuccinate) on Cooling Process from the Melt inthe DSC at Various Holding Times

Table V	The Enthalpy Changes and Melting
Tempera	tures of Poly(1,4-Butanediol
Succinate	e) $(M_w: 18,000)$ on Reating Process in
DSC at V	arious Holding Time

Holding Time (h)	ΔHc (cal/g)	<i>T<sub>m</sub></i> (°C)
0.08	22.3	116.1
0.5	22.5	115.9
1	22.4	115.5
4	22.1	115.3
8	22.5	115.0
24	22.4	114.8
48	22.2	114.6
100	21.8	114.2

made to clarify the origin of the multiple melting endotherms.<sup>32-37</sup> The pair of melting peaks could arise from an initial single-peak crystal distribution that undergoes melting, recrystallization or reorganization, and remelt during the heating process. The lowest broad melting endotherm is due to the melting of crystals of poor quality that existed in the initial distribution. The middle endotherm is due to the maximum endotherm in the initial distribution. The highest temperature peak is due to the melting of the crystals formed by the recrystallization. Melting endotherms are not separated completely at higher scan rates.

Figure 4 shows DSC thermogram under step



Figure 5 The onset temperature of crystallization and maximum crystallization temperature for various molecular weights of poly(1,4-butanediol succinate).



**Figure 6** Cold crystallization temperatures and melting temperatures on reheating processes as a function of molecular weights.



**Figure 7** DSC cooling curves of poly(1,4-butanediol succinate) ( $M_w$ : 18,000) at various cooling rate.

heating process; sample was heated from 30 to 150°C at a heating rate of 10°C/min, holding for 5 min, and cooled to 30°C, and then reheated to 150°C at 20°C/min. In the reheating thermogram, cold crystallization exothermic peak and multimelting endothermic peaks are shown. The results of the analyses for enthalpy changes during crystallization, cold crystallization, and melting process are listed in Table III. The enthalpy changes for crystallization, cold crystallization, and melting process are 19 cal/g, 3 cal/g, and 22 cal/g, respectively. The sum of enthalpy changes for crystallization and cold crystallization are equal to the enthalpy change of the melting process. Enthalpy change for crystallization is about 86% of the sum of the enthalpy changes for crystallization and cold crystallization. It is considered that most of the crystals in polymer were formed during the cooling process.

For the study of the effect of holding time in melt state, poly(1,4-butanediol succinate) ( $M_w$ : 18,000)

was melted at 150°C for various melt holding times then cooled to 30°C at 10°C/min and reheated to 150°C at 10°C/min. The onset temperatures of crystallization, maximum crystallization temperatures, and enthalpy changes for crystallization are listed in Table IV. The enthalpy changes and melting temperatures on reheating process are listed in Table V. Crystallization onset temperature and maximum crystalline temperature decreased to a small extent as holding time increased from 5 min to 8 h. No significant decrease in crystallization temperature occurred above 8 h and, thus, no significant enthalpy changes were observed. The melting temperature was not decreased significantly as the holding time increased. Enthalpy changes in the melting process did not occur at various holding times in the melt state. It is considered that previous crystalline nuclei were destroyed after 5 min holding at 150°C. Chain scission and/or crosslinking did not occur during the melt state holding.



**Figure 8** DSC thermograms of poly(1,4-butanediol succinate) ( $M_w$ : 18,000) in the reheating process; supercooling processes were accomplished prior to reheating on scan rate 10°C/min.





**Figure 9** Spherulites grown in the thin film of poly(1,4-butanediol succinate).

Various molecular weights of poly(1,4-butanediol succinate), 4,600, 6,300, 11,000, 18,000, and 29,000 were heated to 150°C at 20°C/min, held for 5 min, and cooled to 30°C at 20°C/min, and then reheated to 150°C. The onset temperature of crystallization and the maximum crystallization temperature were increased with increasing molecular weight to  $M_{w}$ 18,000 (Fig. 5). It is considered that an increase in the onset of crystallization temperature was due to the ease of chain folding in the longer chain. However, in  $M_w$  29,000, the ease of chain folding is limited by the viscosity of the medium. The cold crystallization temperature and melting temperature were increased with increasing molecular weight to  $M_w$ 18,000 and decreased in  $M_w$  29,000 (Fig. 6). The increase in the cold crystallization temperature was attributed to a decrease in the number of chain ends, and, subsequently, a loss in the amount of free volume. It is considered that  $M_{\mu}$  18,000 has the most perfect crystalline structure among the various molecular weight samples used in this study.

The melt PBS ( $M_w$ : 18,000), which was held for 5 min at 150°C, was cooled to 30°C, at various cooling rates, then reheated to 150°C at 10°C/min (Figs. 7 and 8). The onset temperature of crystallization from the melt occurred at lower temperatures as the cooling rate increased and cold crystallization temperature increased with an decreasing cooling rate from the melt. This can be attributed to the effect of slower rates, which allow more time for nucleation, reorganization, and packing of the polymer chains.

## Morphology

Figure 9 shows the spherulite structure of PBS that was crystallized from the melt. In the PBS spherulites, a radiating texture can be observed [Fig. 9(a),  $\times 256$ ] and branching texture of the spherulites can be observed clearly [Fig. 9(b),  $\times 512$ ]. The spherulite formed in PBS has a Maltese cross.

## Biodegradation

Spherulite of PBS is maintained after sterilization at 140°C for 4 h (Fig. 10). The micrographs of degraded polymer films by micro-organisms are shown in Figure 11 to Figure 18. In the case of sample having  $M_w$  of 6,300, the crystalline structure is already degraded by fungi after 1 week, as seen in Figure 11(a) and Figure 12(a). After 3 weeks, some degrade areas are seen where no filament is present. The degradation seen along the mycelia is sufficient to cause the mycelia to sink into the surface of the film after 7 weeks. The degradation of poly(1,4:butanediol succinate) ( $M_w$ : 6,300) by actinomycetes and bacteria is similar to the sequence of events observed with the fungi except longer existence of crystalline structure. The degradation trends on poly(1,4-butanediol succinate) ( $M_w$ : 29,000) are similar to low molecular weight polymer ( $M_w$ : 6,300). But the crystalline structure on  $M_w$  29,000 is maintained longer than  $M_{\mu}$  6,300. It is considered that polymer is de-

Table VI Changes of the Molecular Weight of PBS( $M_w$ : 29,000) with Degradation Time by Aspergillus niger

Degradation Time (Week)	$M_n$	M <sub>w</sub>	$M_w/M_n$
0	16,000	29,000	1.82
7	1,600	3,400	2.13
10	700	1,300	1.86



**Figure 10** Micrographs of poly(1,4-butanediol succinate) films. Films were sterilized at 140°C for 4 h; (a)  $M_w$  6,300, (b)  $M_w$  29,000. The suspension of microorganism was inoculated on the polymer film; (c)  $M_w$  6,300 (d)  $M_w$  29,000.



**Figure 11** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 6,300) by Aspergillus niger: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 12** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 6,300) by *Penicillium funicularium*: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 13** Biodegradation of poly(1,4-butanediol succinate) ( $M_{w}$ : 6,300) by Actinoplane spp: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 14** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 6,300) by *Pseudomonas fluorescens*: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 15** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 29,000) by Aspergillus niger: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 16** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 29,000) by *Penicillium funicularium*: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 17** Biodegradation of poly(1,4-butanediol succinate) ( $M_w$ : 29,000) by Actinoplane spp: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 18** Biodegradation of poly(1,4-butanediol succinate) ( $M_{\omega}$ : 29,000) by *Pseudomonas fluorescens*: (a) 1 week, (b) 3 weeks, (c) 7 weeks, (d) 10 weeks.



**Figure 19** GPC profiles of PBS  $(M_w: 29,000)$  before and after biodegradation by Aspergillus niger.

graded randomly, but crystalline structure continuously, until reaching very low molecular weight. The ability of Aspergillus niger to degrade PBS ( $M_w$ : 29,000) was monitored using GPC (Fig. 19). Changes of the molecular weights of PBS are shown in Table VI. As the GPC curves in Figure 19 clearly indicate, the molecular weight of PBS decreased with increasing degradation time. Several peaks, appearing in the low molecular weight region of the GPC curves, are ascribed to oligomeric compounds formed by degradation. The microorganisms studied here are apparently producing an enzyme that is responsible for the biodegradation of the polymer.

## **CONCLUSIONS**

For the preparation of biodegradable polyesters, poly(1,4-butanediol succinate) was synthesized using 1,4-butanediol with succinic anhydride. From the NMR analysis, it was found that the molar ratio of 1,4-butanediol unit to succinyl unit in PBS is 1:1. The weight average molecular weights of poly(1,4butanediol succinate) were between 4,600 and 29,000, and the molecular weight distributions were in the range of 1.7 and 1.9, respectively.

In the DSC study, PBS has a glass transition temperature at 73°C, with multiple melting endotherm. Chain scission and/or crosslinking did not occur in the melt state with various holding times. Slower scanning rates can allow more times for nucleation, reorganization, and packing of the polymer chain, so the onset temperature of crystallization from the melt was increased.  $M_w$  18,000 has more perfect crystalline structure among the various molecular weight samples used in this study. PBS crystallized from the melt was found to have spherulitic structure. In the biodegradation study, PBS was degraded by the microorganisms such as fungi, actinomycetes, and bacteria.

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